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| Federal Award Identification Number (FAIN) | CA-2016-066-00 |
| Temporary Application Number | 1957-2016-3 |
| Name | Transbay Corridor TOD Implementation Strategies |
| Budget Number | 0 |

Part 1: Recipient Information

Name: San Francisco Bay Area Rapid Transit District

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|-------------------------|---|----------------|
| 1957 | Other Government Agency | SAN FRANCISCO BAY AREA RAPID TRANSIT DISTRICT | 047409107 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------|---------|-------|-----------|
| Headquarters | 300 LAKESIDE DR | OAKLAND | CA | 946123534 |
| Physical Address | 300 LAKESIDE DR | OAKLAND | CA | 94612 |
| Mailing Address | 800 MADISON STREET | OAKLAND | CA | 94607 |

Union Information

| | |
|-------------------|----------------------------------|
| Union Name | AMALGAMATED TRANSIT UNION |
| Address 1 | 5025 Wisconsin Avenue, N.W. |
| Address 2 | |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20016 |
| Contact Name | Warren George |
| Telephone | 2025371645 |
| Fax | 2022447824 |
| E-mail | dispatch@atu.org |

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|-------------------|---|
| Website | atu.org |
| Union Name | AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES |
| Address 1 | 1625 L Street, NW |
| Address 2 | |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20036 |
| Contact Name | Gerald McEntee |
| Telephone | |
| Fax | 2024291293 |
| E-mail | legislation@afscme.org |
| Website | afscme.org |
| Union Name | BART POLICE MANAGERS ASSOCIATION |
| Address 1 | 800 Madison Street |
| Address 2 | |
| City | Oakland |
| State | California |
| Zipcode | 94607 |
| Contact Name | Nathan Weissich |
| Telephone | 5104647000 |
| Fax | |
| E-mail | nweissi@bart.gov |
| Website | bart.gov |
| Union Name | BART POLICE OFFICERS ASSOCIATION |
| Address 1 | 800 Madison St |
| Address 2 | |
| City | Oakland |
| State | California |
| Zipcode | 94607 |
| Contact Name | Keith Garcia |
| Telephone | 510-464-70 |
| Fax | |
| E-mail | kgarcia@bart.gov |
| Website | bart.gov |
| Union Name | SERVICE EMPLOYEES INTERNATIONAL UNION |
| Address 1 | 1313 L Street, N. W. |
| Address 2 | |
| City | Washington |

| | |
|--------------|----------------------|
| State | District of Columbia |
| Zipcode | 20005 |
| Contact Name | Andrew Stern |
| Telephone | 2028984100 |
| Fax | 2028983200 |
| E-mail | sterna@seiu.org |
| Website | seiu.org |

Part 2: Information

Title: Transbay Corridor TOD Implementation Strategies

| FAIN | Award Type | Date Created | Last Updated Date | From TEAM? |
|----------------|------------|--------------|-------------------|------------|
| CA-2016-066-00 | Grant | 7/13/2016 | 7/13/2016 | No |

Executive Summary

The grant partners will work to develop a comprehensive TOD strategy that fills the remaining gaps in transportation management and development implementation in the Transbay corridor. The cities of Oakland and San Francisco have either recently adopted TOD-supportive land use plans, or are in the planning stages. However, the plans do not account for transit capacity constraints in the Transbay Corridor. The grant activities will enable BART to increase transit ridership by encouraging reverse commuting to Oakland, and incentivizing BART riders to commute to Downtown San Francisco at times outside the peak hour. This set of activities will be complementary to FTA Core Capacity funded improvements and would demonstrate fiscal responsibility by showing that every effort – infrastructure related or not – is being made to address BART’s capacity needs.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Subject to Pre-Award Authority

No, this application is not subject to Pre-Award Authority.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-------|----------------|-------|
|------------|-----------|-------|----------------|-------|

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|---------------------|----------------------------|-----------------------------|--------------|
| Dominique Paukowits | | Dominique.Paukowits@dot.gov | 415-734-9469 |
| Janice Abaray | | janice.abaray@dot.gov | 415-734-9451 |
| Michael Tanner | Manager, Grant Development | mtanner@bart.gov | 510-464-6433 |

Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---|--------------------|-------------|--------------------|
| 20005(b) of MAP-21 - Pilot Program for TOD Planning | 2005bMAP21 | 20500 | \$1,100,000 |
| Local | | | \$320,000 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Total Eligible Cost | | | \$1,420,000 |

Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| CA-2016-066-01-00 | 441-80 (441-A1) PLANNING - METROPOLITAN PLANNING | \$1,100,000.00 | \$320,000.00 | \$1,420,000.00 | 0 |
| CA-2016-066-01-00 | 44.22.0 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING | \$1,100,000.00 | \$320,000.00 | \$1,420,000.00 | 0 |

Earmark and Discretionary Allocations

| Earmark ID | Earmark Name | Amount Applied |
|-----------------|---|----------------|
| D2015-TODP-0002 | Transbay Corridor TOD Implementation Strategies | \$1,100,000 |

Sources of Federal Financial Assistance

| PO Number | Project Number | Scope Name | Scope Number | Scope Suffix | UZA Code | Area Name | Account Class Code | FP C | Description | Amendment Amount | Cumulative Amount |
|------------|-------------------|-----------------------------------|--------------|--------------|----------|----------------------------|--------------------|------|------------------------------------|------------------|-------------------|
| CA-94-4001 | CA-2016-066-01-00 | PLANNING - METROPO LITAN PLANNING | 441-80 (441) | A1 | 060060 | San Francisco--Oakland, CA | 2013.25.94 .00.2 | 02 | Transit Oriented Development Pilot | \$1,100,000 | \$1,100,000 |

Part 3: Project Information

Project Title: Transbay Corridor TOD Implementation Strategies

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| CA-2016-066-01-00 | 1957-2016-3-P1 | 7/13/2016 | 10/1/2016 | 10/31/2018 |

Project Description

This work will include extensive land use and economics analysis in regards to commercial and residential development along the BART corridor in Oakland and San Francisco. This analysis will be incorporated into the Downtown Specific Plan – to determine what the current deterrents there are to all development in Downtown Oakland: office, retail, hotel, residential, and entertainment venues. The commercial land uses will create a stronger East Bay employment center. In San Francisco, BART will evaluate the potential for value capture support of major employers to expand transit capacity in downtown San Francisco.

Project Benefits

This coordinated planning effort between BART and the Cities of San Francisco and Oakland will better prepare the area to compete for future new fixed guideway and core capacity improvement projects.

Additional Information

The grant partners will work to develop a comprehensive TOD strategy that fills the remaining gaps in transportation management and development implementation in the Transbay corridor. The cities of Oakland and San Francisco have either recently adopted TOD-supportive land use plans, or are in the planning stages. However, the plans do not account for transit capacity constraints in the Transbay Corridor. The grant activities will enable BART to increase transit ridership by encouraging reverse commuting to Oakland, and incentivizing BART riders to commute to Downtown San Francisco at times outside the peak hour. This set of activities will be complementary to FTA Core Capacity funded improvements and would demonstrate fiscal responsibility by showing that every effort – infrastructure related or not – is being made to address BART's capacity needs.

Location Description

The concentrated focus of this grant will be in and around the the BART Transbay tube, Embarcadero, and Montgomery stations in San Francisco and Oakland's core (West Oakland, 12th Street, 19th Street, Lake Merritt).

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|----------------------------|
| 060060 | San Francisco--Oakland, CA |

Congressional District Information

| State | District | Representative |
|------------|----------|-----------------|
| California | 7 | Ami Bera |
| California | 8 | Paul Cook |
| California | 9 | Jerry McNerney |
| California | 10 | Jeff Denham |
| California | 11 | Mark DeSaulnier |
| California | 12 | Nancy Pelosi |
| California | 13 | Barbara Lee |
| California | 14 | Jackie Speier |
| California | 15 | Eric Swalwell |
| California | 16 | Jim Costa |

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---|--------------------|-------------|--------------------|
| 20005(b) of MAP-21 - Pilot Program for TOD Planning | 2005bMAP21 | 20500 | \$1,100,000 |
| Local | | | \$320,000 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Total Eligible Cost | | | \$1,420,000 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|----------------|----------------|-----------------------|----------|
| CA-2016-066-01-00 | 441-80 (441-A1) PLANNING - METROPOLITAN PLANNING | \$1,100,000.00 | \$320,000.00 | \$1,420,000.00 | 0 |
| CA-2016-066-01-00 | 44.22.00 GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING | \$1,100,000.00 | \$320,000.00 | \$1,420,000.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

| Scope Name / Code | Line Item # | Custom Item Name | Activity | Quantity |
|---|-------------|--|----------------------------------|----------|
| PLANNING - METROPOLITAN PLANNING (441-80) | 44.22.00 | GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING | PLANNING - METROPOLITAN PLANNING | 0 |

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---|--------------------|-------------|--------------------|
| 20005(b) of MAP-21 - Pilot Program for TOD Planning | 2005bMAP21 | 20500 | \$1,100,000 |
| Local | | | \$320,000 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Total Eligible Cost | | | \$1,420,000 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------|
| Start Date | 10/1/2016 | |

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|----------|------------|
| End Date | 10/31/2018 |
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Project Environmental Findings

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| Finding: Class II(c) - Categorical Exclusions (C-List) |
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Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |